



Compliance activities and responses

	Self regulation	Assisted self regulation	Direct regulation	Enforced regulation												
Risk level	LOW			HIGH												
Responses	Compliance policy and procedures	Compliance Advisory Group	Communication products	DIBPN	Tariff advice	Campaigns	Industry presentations	Directed education	Warnings	Hold cargo	Detain goods	Case management	Sanctions	Suspend/cancel licence	Seize goods	Prosecute
Activities	Compliance Monitoring Program	Periodic compliance assurance checks	Trade services	Education and awareness	Audit	Interventions	Cargo examinations	Targeted compliance operations	Refer for investigations	Compliance assurance Adapt and improve		Compliance enforcement Evaluate and review				
	Monitoring risk			Targeting risk												

Compliance behaviours

